



Ralph E. Jocke

Patent  
&  
Trademark Law

9-13-01  
Walker  
&  
Jocke

a legal professional association

September 10, 2001

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
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DEC 12 2001

Group 2100

Re: U.S. Serial No. 09/863,911  
Applicants: Shepley, et al.  
Docket No.: D-1140

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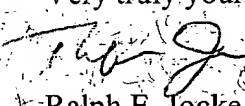
Sir:

I am in receipt of the enclosed Response to Request Corrected Filing Receipt. This Response suggests that it is not understood by the PTO how the present application claims priority of Serial No. 09/077,337.

This Application is a CIP of 09/193,637. Serial No. 09/193,637 is a CIP of International Application PCT/US97/21422 which designates the U.S. International Application PCT/US97/21422 has entered the national phase in the U.S. and Serial No. 09/077,337 is the U.S. Serial No. assigned to the U.S. national phase of PCT/US97/21422. This is all explained in the Application Data Sheet filed with the Application. I have enclosed a copy of page 5 thereof for your reference. I have also enclosed another copy of my original request to correct the Filing Receipt.

Thank you for your cooperation in this matter. If you have any questions, please contact me by telephone.

Very truly yours,

  
Ralph E. Jocke

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that this document and the documents indicated as enclosed herewith are being deposited with the U.S. Postal Service as Express Mail Post Office to addressee in an envelope addressed to this 10th day of September 2001.

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 WASHINGTON, D.C. 20231  
 www.uspto.gov


Bib Data Sheet

CONFIRMATION NO. 1612

SERIAL NUMBER 09/863,911	FILING DATE 05/23/2001 RULE	CLASS 705	GROUP ART UNIT 2164	ATTORNEY DOCKET NO. D-1140
<b>APPLICANTS</b>				
Steven Shepley, Uniontown, OH; Joseph Cwikla, Tallmadge, OH; Bryan Reed, Canal Fulton, OH; James Block, North Lawrence, OH; Robert Usner, Morrisville, NC; Jay Paul Drummond, Massillon, OH; Mark D. Smith, North Canton, OH;				
<b>** CONTINUING DATA *****</b> THIS APPLICATION IS A CIP OF 09/193,637 11/17/1998 PAT 6,289,320 WHICH IS A 371 OF PCT/US97/21422 11/25/1997 AND CLAIMS BENEFIT OF 60/207,043 05/25/2000 AND CLAIMS BENEFIT OF 60/098,907 09/02/1998 AND CLAIMS BENEFIT OF 60/095,626 08/07/1998 AND CLAIMS BENEFIT OF 60/091,887 07/07/1998 AND CLAIMS BENEFIT OF 60/031,956 11/27/1996				
<b>** FOREIGN APPLICATIONS *****</b>				
<b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED</b> <b>** 07/24/2001</b>				
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged _____ Examiner's Signature Initials		STATE OR COUNTRY OH	SHEETS DRAWING 4	TOTAL CLAIMS 36
INDEPENDENT CLAIMS 3				
<b>ADDRESS</b>				
28995				
<b>TITLE</b>				
Automated transaction machine system and method				
<b>FILING FEE RECEIVED</b> 998	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	

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APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/863,911	05/23/2001	Steven Shepley	D-1140

CONFIRMATION NO. 1612



\*OC00000006478078\*

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Date Mailed: 08/27/2001

## RESPONSE TO REQUEST CORRECTED FILING RECEIPT

## Claims, Fees, Inventors and Continuity

In response to your request for a corrected Filing Receipt, the Office is unable to comply with your request because:

- ☐ The total number of claims appearing on the Filing Receipt does not include multiple dependent claims. The total fee appearing on the Filing Receipt includes the cost of multiple dependent claims that were present at the time the application was filed.
- ☐ The filing fee is correct. It includes the surcharge under 37 CFR 1.16(e) for filing an oath/declaration or basic filing fee after the application filing date.
- ☐ The inventor information may be truncated if the family name consists of more than 50 characters (letters and spaces combined) and if the given name consists of more than 50 characters (letters and spaces combined). The inventor's residence allows for up to 40 characters (letters and spaces combined).
- ☐ The docket number allows a maximum of 25 characters.
- ☒ Continuity claimed under 35 USC 120 cannot be added to the Filing Receipt without supplying the relationship (i.e. continuation, divisional...).
- ☐ Foreign priority will appear on the Filing Receipt in the following order:  
**Country, Application number, Filing date.**
- ☐ The person signing on behalf of the deceased inventor will be reflected on your Filing Receipt as the legal representative.
- ☐ The enclosed Filing Receipt was returned to the Office as undeliverable. We are sending a second filing receipt to the address given.

Only one character per space is allowed. Therefore, punctuation which is usually placed above or

☐ below a letter, such as an umlaut (..), cannot be included on the Filing Receipt.

Any corrections that may need to be done to your Filing Receipt should be directed to:

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Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

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*A copy of this notice MUST be returned with the reply.*

NK

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Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



### Correspondence Information

Correspondence Customer Number:: 28995

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**Group 2100**

### Representative Information

Representative Customer Number:: 28995

### Domestic Priority Information

Application::	Continuity Type::	Parent Application::	Parent Filing Date::
This Application	Continuation-in-part of	09/193,637	11/17/1998
09/193,637	Continuation-in-part of	PCT/US97/21422	11/25/1997
09/077,337	National Stage of	PCT/US97/21422	11/25/1997
This Application	Non-Provisional of	60/207,043	05/25/2000
09/193,637	Non-Provisional of	60/091,887	07/07/1998
09/193,637	Non-Provisional of	60/095,626	08/07/1998
09/193,637	Non-Provisional of	60/098,907	09/02/1998
PCT/US97/21422	Non-Provisional of	60/031,956	11/27/1996

### Assignee Information

Assignee Name:: Diebold, Incorporated



Walker & Jocke  
— a legal professional association

Ralph E. Jocke  
Patent  
&  
Trademark Law

August 7, 2001

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Group 2100

Re: Serial No.: 09/863,911  
Applicants: Steven Shepley, et al.  
Docket No.: D-1140

Sir:

Errors were noted on the Filing Receipt for the above application. Corrections have been made on the attached sheet.

If you have any questions, please give me a call.

Very truly yours,

Ralph E. Jocke

REJ:jgm

**CERTIFICATE OF MAILING**

I hereby certify that this document and the documents indicated as enclosed herewith are being deposited with the U.S. Postal Service, postage prepaid as First Class Mail, in an envelope addressed to Application Processing Division, Customer Correction Branch, Commissioner of Patents and Trademarks, Washington, D.C. 20231 this 7th day of August 2001.

  
Ralph E. Jocke

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## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE RECD	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/863,911	05/23/2001	2164	998	D-1140	4	36	3

28995  
RALPH E. JOCKE  
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MEDINA, OH 44256

CONFIRMATION NO. 1612

## FILING RECEIPT



\*OC00000008336141\*

Date Mailed: 07/26/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Steven Shepley, Uniontown, OH;  
Joseph Cwikla, Tallmadge, OH;  
Bryan Reed, Canal Fulton, OH;  
James Block, North Lawrence, OH;  
Robert Usner, Morrisville, NC;  
Jay Paul Drummond, Massillon, OH;  
Mark D. Smith, North Canton, OH;

## Assignment For Published Patent Application

Diebold, Incorporated;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/193,637 11/17/1998  
AND CLAIMS BENEFIT OF 60/207,043 05/25/2000  
AND WHICH CLAIMS BENEFIT OF 60/098,907 09/02/1998  
AND CLAIMS BENEFIT OF 60/095,626 08/07/1998  
AND CLAIMS BENEFIT OF 60/091,887 07/07/1998  
AND CLAIMS BENEFIT OF 60/031,956 11/27/1996  
AND ~~SAID 60/091,887 07/07/1998~~

WHICH IS A CIP OF PCT/US97/21422 11/25/1997

09/077,337 is the NATIONAL STAGE OF PCT/US97/21422

## Foreign Applications

~~PCT/US97/21422 11/25/1997~~

If Required, Foreign Filing License Granted 07/24/2001



**Projected Publication Date:** 11/01/2001

**Non-Publication Request:** No

**Early Publication Request:** No

**Title**

Automated transaction machine system and method

**Preliminary Class**

705

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**Data entry by :** QUINTEROS, KARLA

**Team :** OIPE

**Date:** 07/26/2001



**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

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**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
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